



NC DEPARTMENT OF  
**HEALTH AND  
HUMAN SERVICES**

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**MEMORANDUM**

**TO:** Local Health Department Immunization Staff

**FROM:** Wendy Holmes, RN, Head *WH*  
Immunization Branch

**DATE:** September 18, 2018

**SUBJECT:** Reminder: 2018 Annual Immunization Assessment Using the North Carolina Immunization Registry (NCIR)

The purpose of this memo is to remind local health department (LHD) staff about the upcoming 2018 Annual Immunization Assessment. This assessment will review NCIR records of children 24 through 35 months of age who are active in each LHD, as well as those who reside in each county. The purpose of this assessment is to determine the immunization compliance rate, which refers to the percentage of children who have documentation of being up-to-date (UTD) by 24 months of age with each of the recommended vaccinations. As a reminder, all active clients with a birth date range of 10/2/2015 through 10/1/2016 will be assessed for the 4:3:1:3:3:1:4 (4 DTaP, 3 Polio, 1 MMR, 3 Hib, 3 Hep B, 1 Var, and 4 PCV) series. Data will be extracted from the NCIR using the Benchmark Report on Monday, October 1, 2018.

As described in the LHD Agreement Addenda 715 Immunization Action Plan performance measures, an overall county rate and an organization (LHD) compliance rate will be calculated and reported. LHD staff have the ability to generate patient-specific reports using the NCIR Benchmark Report. Reports may be generated at any time and should be used to track appropriate clients within your organization and county. Guidance for using the NCIR Benchmark Report is attached. Some children may be excluded (made "inactive") from your LHD in accordance with the categories defined in the "Exclusion Criteria for Making Clients Inactive from an Organization" document which also accompanies this memo.

If you have any questions regarding the assessment process, please contact your Regional Immunization Program Consultant. A current contact list is available on our website at: <https://www.immunize.nc.gov/contacts.htm>. As always, the Immunization Branch appreciates your commitment to assuring the health of North Carolina's children.

Enclosures

cc: Immunization Central Office Staff  
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## The NCIR Benchmark Report

### Guidance for Local Health Departments for the 2018 Annual Immunization Assessment

The NCIR Benchmark Report provides a summary of the immunization status of a particular group of clients for a specific immunization benchmark or predefined series of benchmarks. This report is also used to obtain a list of clients that are not yet up-to-date for the specified immunizations.

To assess the overall immunization status of active clients within your local health department, change the client population to “**Clients Associated with (your) County Health Department**”. To assess the immunization status of clients in the NCIR listed as residing in your county, change the client population to “**Clients Residing in (your) county**”.

**Select Client Population**

Clients Associated with Selected Site

Clients Associated with (your) COUNTY HEALTH DEPARTMENT

Clients Residing in (your) County

Clients Associated with (your) COUNTY HEALTH DEPARTMENT AND Clients Residing in (your) County

Enter the **birth date range**: Earliest Birth date: 10/02/2015 Latest Birth date: 10/01/2016

**Select Age or Birth Date Range**

Less than or equal to 72 months old

Birth date range Earliest Birth date:  Latest Birth date:

Age range Youngest Age:  Days  Oldest Age:  Days

Enter the evaluation date as 10/1/2018 (either manually type in the date or select it from the pop-up calendar).

**Select Evaluation Date**

Select the benchmark; select the **Age @ 24 months** row.

**Select Benchmark**

Age Specific Immunization Benchmarks							
Age	DTaP	Hep B	Hib	MMR	Polio	Pneumo	Varicella
@ 3 months	1	1	1		1	1	
@ 5 months	2	2	2		2	2	
@ 7 months	3	2	2		2	2	
@ 9 months	3	2	2		2	2	
@ 12 months	3	2	2		2	2	
@ 16 months	4	3	3	1	3	3	1
@ 19 months	4	3	3	1	3	3	1
@ 21 months	4	3	3	1	3	3	1
@ 24 months	4	3	3	1	3	4	1
@ 72 months	5	3	4	2	4	4	1

Click “**Generate**” once and wait for the Benchmark Report Status page to appear.

You may need to click “Refresh” a few times and wait for the “**BENCHMARK**” link to appear. When the report link is ready, click the link to view the report results and to see a list of clients who did not meet the benchmark and who are not yet up-to-date for the required vaccinations.

### **Exclusion Criteria for Making Clients Inactive from an Organization**

*Guidance for Local Health Departments for the 2018 Annual Immunization Assessment*

In order for LHD assessments to provide an accurate portrayal of their own organization’s active clients' vaccination status, clients should be "excluded" from the active client list by having their client status set to 'Inactive' or 'Permanently Inactive-Deceased' when applicable.

Use the **Status** field under the “**Client Information**” tab in the NCIR (shown below) to change the status of a patient to “inactive” or “permanently inactive-deceased”.

The screenshot shows the 'Client Information' tab in the NCIR system. It features three main sections: 'Eligibility', 'Provider Organization Specific Data', and 'Demographics'. The 'Status' dropdown menu is highlighted with a red box, showing options: 'Inactive' (selected), 'Active', 'Inactive', and 'Permanently Inactive - Deceased'. Below the status dropdown, there are dropdown menus for 'Ethnicity' (set to 'Not Hispanic or Latino') and 'Race' (set to 'White').

Set the client's status to "**Inactive**" if one of the following categories are applicable:

- **Moved or Gone Elsewhere (MOGE):** any client confirmed as receiving immunization services elsewhere. Document this in the client’s permanent medical record. The documentation must include the estimated date of transfer of service **and** the new service provider (as specific as possible; e.g., Client transferred to C. Pediatrics in Nov 2012), if known.
- **Unable to locate:** the expectation is that LHDs will make reasonable efforts to locate a client who may be in need of immunizations. However, tracking resources should be allocated in an efficient manner. Therefore, a LHD may identify a client as “unable to locate” if **all three** of the following conditions are met:
  - 1) The client has not received any service from the LHD in the last 12 months, **AND**
  - 2) The telephone number(s) is no longer valid for the client (either the phone is disconnected, or the family no longer resides at that number), **AND**
  - 3) A postcard or letter has been returned and no other address is known; **or** a certified letter is returned; **or** there is no address entered for the client. *Note:* The client will remain in the county assessment and will be identified as unable to locate. Please do not change the client’s county of residence unless you know the correct county.

Any relevant information should be documented in the client’s medical record. The documentation must include:

- The date the phone number was verified as no longer valid, and
- The date the address was validated as being no longer valid (as specific as possible).

Within the NCIR, every client's **County of Residence** and **Responsible Person(s) address information** should be verified at each encounter. If a client has moved out of state, you should change the county of residence to "Out of State", as shown below:

The image shows a portion of a web form. On the left, there are three input fields: 'Middle Name' with the letter 'T', '- Mother's Maiden Last', and '- Mother's First Name'. On the right, there is a '- Birth Date' field with '02/15/1943' and a calendar icon. Below that is a 'County of Residence' dropdown menu with 'Out of State' selected, which is highlighted with a red rectangular box. Below the dropdown is a 'Last Notice' field. At the bottom of the form area, there is a note: 'NOTE: Fields marked with an asterisk \* are required.'

If you are uncertain of the North Carolina county, please ask the client/responsible person. No client should ever have "**Unknown**" listed as their county of residence. All clients within a corresponding county of residence (regardless of their client status within any organization) will be included in calculating a county immunization compliance rate. Countywide compliance rates are used in determining the statewide rate.

**If you only provide a client with a specific vaccination(s), such as a giving a flu shot, you are still responsible for assuring the client is age-appropriately immunized with all vaccinations.** You should always update a client's NCIR record with any historical immunization(s), as well as the immunization(s) administered during any visit, and then provide the client with a completed, current copy of the record. If the client is receiving all immunizations elsewhere, you may set his/her status to "**inactive**". If the client is **NOT** age-appropriately immunized, and does not have another immunization home, you should continue to follow-up with the client until the client is age-appropriately immunized, or until his/her status changes to 'inactive' due to a valid reason.

A client/parent/guardian that delays or refuses to come to your facility for an immunization(s), even after repeated follow-up should not be made "inactive." Instead, you should;

- Document the dates of notification (phone calls or letters sent) in the client's permanent medical record according to your agency's protocol.
- You may set the NCIR Responsible Person(s) notice to "no" if you do not want to continue to try to contact the client via that method, but the client should remain active in your organization, as further follow-up will be necessary until they are appropriately vaccinated.

If a client/parent/guardian refuses an immunization(s), or for some other reason is unable to receive an immunization(s) at a routine office encounter, you should;

- Document that information appropriately in the NCIR Client Comment(s),
- Document in the medical record according to your agency protocol. The client should remain active with your organization, as further follow-up will be necessary.

Determining who is unvaccinated is an important public health function for disease prevention, especially when disease outbreaks occur. Having an accurate assessment of immunization compliance within your facility, as well as within the county is critical for this purpose.

If you have questions about which status to assign to a specific client, contact your Regional Immunization Program Consultant.